


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1722
Examiner: Mr. Matthew J. Song

In re PATENT APPLICATION of:

Applicant:	Kazutaka SHIBATA)	
Serial No.:	09/830,092)	
Filed:	June 27, 2001)	INFORMATION DISCLOSURE STATEMENT
For:	SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME)	
Docket No.	AI 264NP)	

May 30, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. 1.97-1.98, within the time period specified by 37 C.F.R. 1.97(d).

A Form PTO 1449 and copies of the six references listed therein are attached. All of the references are written in the Japanese language. English language information about the relevance of five of the attached references is provided in the form of Patent Abstracts of Japan summaries, and four of these references are also accompanied by machine-generated English translations. English language information about the relevance of the sixth reference (Japanese 2000-156461) is provided in the form of two counterpart US patents (5,977,640 and 6,294,406).

The references identified on the attached Form PTO 1449 were cited in Office Actions by the Japanese Patent Office on two of the Japanese priority applications for the present US application. Both Japanese Office Actions are dated April 25, 2006.

Accordingly, it is hereby stated, pursuant to 37 C.F.R. § 1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a

FEE ENCLOSED:\$180
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No. 18-002

05/31/2006 HALI11 00000087 09830092

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Atty Docket: AI 264NP

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The \$180 fee specified by 37 C.F.R. § 1.17(p) is being submitted concurrently. Should this remittance be accidentally missing or in insufficient, however, any fees that may be needed can be charged to our Deposit Account number 18-0002.

In view of the foregoing, it is respectfully requested that the references be considered and made of record.

Respectfully submitted,



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AW/ss

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

Atty. Docket:
AI 264NPApplication No.:
09/830,092Applicant:
Kazutaka SHIBATAFiling Date:
June 27, 2001Group Art Unit:
1722

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AE	2000-156461	06/06/00	Japan			US counterparts
	AF	2-153527	06/13/90	Japan			Abstract
	AG	5-3183	01/08/93	Japan			Computer-generated
	AH	5-55278	03/05/93	Japan			Computer-generated
	AI	8-236692	09/13/96	Japan			Computer-generated
	AJ	11-288977	10/19/99	Japan			Computer-generated

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AK	
AL	

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 draw line through citation if not in conformance and not considered. Include copy of this form with next
 communication to Applicant.